

SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
IBM/180
(ROC920000312US1)SERIAL NO.
09/827,804INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

APPLICANT
Timothy Roy Block et al.Confirmation No.
6868FILING DATE
April 6, 2001GROUP
2621

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | A.A | | | | | | |
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FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

| | | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION (YES/NO) |
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| | A.L | | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

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|----|-----|---|--|--|--|--|--|
| RC | A.R | U.S. Patent Application Serial No. 09/281,026, entitled "Cluster Node Distress Signal," filed on 3/30/99 by Block et al. (RO998-196). <i>US 6442 713</i> | | | | | |
| RC | A.S | U.S. Patent Application Serial No. 09/481,177, entitled "Delivery of Configuration Changes in a Group Communication System," filed on 1/12/2000 by Funk et al. (IS999009). <i>US 6493 715</i> | | | | | |
| RC | A.T | U.S. Patent Application Serial No. 09/638,328, entitled "Merge Protocol for Clustered Computer System," filed on 8/14/2000 by Miller et al. (ROC920000130US1). | | | | | |

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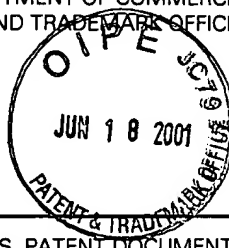
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*12/15/03*EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | B.A | | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

| | | |
|----|-----|---|
| RC | B.R | U.S. Patent Application Serial No. 09/697,398, entitled "Group Data Sharing During Membership Change in Clustered Computer System," filed on 10/27/2000 by Miller et al. (ROC920000129US1). |
| RC | B.S | U.S. Patent Application Serial No. 09/718,924, entitled "Apparatus and Method for Communicating Between Computer Systems Using a Sliding Send Window for Ordered Messages in a Clustered Computing Environment," filed on 11/22/2000 by Timothy R. Block. (ROC920000123). |
| RC | B.T | U.S. Patent Application Serial No. 09/809,408, entitled "Automated Node Restart in Clustered Computer System," filed on 3/15/2001 by Jennifer Anne Dervin et al. (ROC920000313US1). |

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS
INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
ROC920000312US1SERIAL NO.
09/827,604APPLICANT:
Timothy R. Block et al.FILING DATE:
April 6, 2001GROUP:
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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE (IF APPRO.) |
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FOREIGN PATENT DOCUMENTS

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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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| already considered in pap. no. 2 | AR | U.S. Patent Application, Serial No. 09/809,408, entitled "Automated Node Restart in Clustered Computer System," filed on March 15, 2001 by Dervin et al. (ROC920000313US1) |
| see | AS | U.S. Patent Application, Serial No. 09/920,470, entitled "Forwarding of Diagnostic Messages in a Group" filed on August 1, 2000 by Miller et al. (ROC920000311US1) |
| | AT | |

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Kurt Lin

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